

FORM LM-30
LABOR ORGANIZATION OFFICER AND
EMPLOYEE REPORT



Form
Office of
an
No.
Expire

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 4



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

1. File Number U - <u>6087</u>	2. Fiscal Year Covered From: <u>01 / 01 / 04</u> Through: <u>12 / 31 / 04</u>
3. Name and address of person filing. Name <u>FRANK X CULLEN</u> P.O. Box, Bldg., Room No., if any _____ Street <u>17 ZARRISKIE AVE. APT. 3B</u> City <u>BAYONNE,</u> State <u>N. J.</u> ZIP Code + 4 <u>07002</u>	3. Name, file number, and address of labor organization. Name <u>IRONWORKERS Local No. 45</u> Labor Organization File Number <u>010-139</u> P.O. Box, Building and Room Number, if any _____ Street <u>558 NEWARK AVE.</u> City <u>JERSEY CITY</u> State <u>N. J.</u> ZIP Code + 4 <u>07306</u>
5. Position in labor organization. <u>Fin. Sec. / TREAS.</u>	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.	
3. Name and address of Employer (including trade name, if any). Name _____ Trade Name, if any: _____ P.O. Box, Bldg., Room No., if any _____ Street _____ City _____ State _____ ZIP Code + 4 _____	7.a. Nature of Interest, Transaction, or Income. _____ 7.b. Amount. _____

Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)	
Signed <u>[Signature]</u>	On <u>08/11/05</u> <u>201653 3365</u> Date Telephone Number

Name of Person Filing	File Number U-
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B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name ARK ASSET MGMT. CO., INC.

Trade Name, if any: _____

P.O. Box, Bldg., Room No., if any _____

Street 125 BROAD STREET

City NEW YORK

State NY ZIP Code + 4 10004

9. Business deals with:

☐ a. Labor Organization

☐ b. Trust

☒ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name _____

Trade Name, if any: _____

P.O. Box, Bldg., Room No., if any _____

Street _____

City _____

State _____ ZIP Code + 4 _____

11.a. Nature of such dealing.

03/04/04
DINNER AT SMITH + WOLGENSKYS
HOLLYWOOD, FL

11.b. Approximate dollar value of such dealing. OVER 25-

12.a. Nature of interest held or income received.

12.b. Amount

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name _____

Trade Name, if any: _____

P.O. Box, Bldg., Room No., if any _____

Street _____

City _____

State _____ ZIP Code + 4 _____

14.a. Nature of payment.

Name of Person Filing FRANK X CULLEN	File Number U-
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B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p>8. Name and address of Business (including trade name, if any).</p> <p>Name BUCKHEAD CAPITAL MGMT, LLC</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any SUITE 550</p> <p>Street 1545 PEACHTREE ST., N.E.</p> <p>City ATLANTA</p> <p>State GA ZIP Code + 4 30309</p>	<p>9. Business deals with:</p> <p><input type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input checked="" type="checkbox"/> c. Employer</p>
<p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name _____</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street _____</p> <p>City _____</p> <p>State _____ ZIP Code + 4 _____</p>	<p>11.a. Nature of such dealing.</p> <p>LUNCH 09/20/04</p> <p>LUNCH (ECCO IN NYC) 10/21/04</p> <p>11.b. Approximate dollar value of such dealing. OVER 25 -</p> <p>12.a. Nature of interest held or income received.</p> <p>12.b. Amount</p>

<p>C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.</p>	
<p>13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).</p> <p>Name _____</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street _____</p> <p>City _____</p> <p>State _____ ZIP Code + 4 _____</p>	<p>14.a. Nature of payment.</p>

Name of Person Filing FRANK X COLLEN	File Number U-
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B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name **CIGNA - A HEALTH CARE**

Trade Name, if any: _____

P.O. Box, Bldg., Room No., if any _____

Street **499 WASHINGTON BLVD.**

City **JERSEY CITY**

State **NJ** ZIP Code + 4 **07310**

9. Business deals with:

☐ a. Labor Organization

☐ b. Trust

☒ c. Employer



10. If 9.b. or 9.c. is checked give trust or employer's name.

Name _____

Trade Name, if any: _____

P.O. Box, Bldg., Room No., if any _____

Street _____

City _____

State _____ ZIP Code + 4 _____

11.a. Nature of such dealing.

**DINNER AT CAPITAL GRILL
WASHINGTON DC
03/28/04**

11.b. Approximate dollar value of such dealing. **116.00**

12.a. Nature of interest held or income received.

12.b. Amount

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name _____

Trade Name, if any: _____

P.O. Box, Bldg., Room No., if any _____

Street _____

City _____

State _____ ZIP Code + 4 _____

14.a. Nature of payment.

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<p>8. Name and address of Business (including trade name, if any).</p> <p>Name FOX ASSET MANAGEMENT</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street 44 SYCAMORE AVENUE</p> <p>City LITTLE SILVER</p> <p>State NJ ZIP Code + 4 07739</p>	<p>9. Business deals with:</p> <p><input type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input checked="" type="checkbox"/> c. Employer</p> <p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name _____</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street _____</p> <p>City _____</p> <p>State _____ ZIP Code + 4 _____</p> <p>11.a. Nature of such dealing.</p> <p>12/08/04</p> <p>LUNCH AT BOULEYS IN NYC</p> <p>11.b. Approximate dollar value of such dealing. OVER 25-</p> <p>12.a. Nature of interest held or income received.</p> <p>12.b. Amount</p>
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<p>C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.</p>	
<p>13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).</p> <p>Name _____</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street _____</p> <p>City _____</p> <p>State _____ ZIP Code + 4 _____</p>	<p>14.a. Nature of payment.</p>

Name of Person Filing FRANK X CULLEN	File Number U-
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B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p>8. Name and address of Business (including trade name, if any).</p> <p>Name IRONWORKERS DIST OF NORTHERN NJ WELFARE</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street 12 EDISON PLACE</p> <p>City SPRINGFIELD</p> <p>State NJ ZIP Code + 4 07081-1310</p>	<p>9. Business deals with:</p> <p><input type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input checked="" type="checkbox"/> c. Employer</p>
<p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name _____</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street _____</p> <p>City _____</p> <p>State _____ ZIP Code + 4 _____</p>	<p>11.a. Nature of such dealing.</p> <p>12/15/04 LUNCH AT CASA DANTE Jersey City NJ</p> <p>11.b. Approximate dollar value of such dealing. 62.00</p> <p>12.a. Nature of interest held or income received.</p> <p>12.b. Amount</p>



<p>C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.</p>	
<p>13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).</p> <p>Name _____</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street _____</p> <p>City _____</p> <p>State _____ ZIP Code + 4 _____</p>	<p>14.a. Nature of payment.</p>

Name of Person Filing FRANK X CULLEN	File Number U-
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<p>8. Name and address of Business (including trade name, if any).</p> <p>Name MBIA SECURITIES CORP.</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street 113 King STREET</p> <p>City ARMONK</p> <p>State NY ZIP Code + 4 10504</p>	<p>9. Business deals with:</p> <p><input type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input checked="" type="checkbox"/> c. Employer</p> <p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name _____</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street _____</p> <p>City _____</p> <p>State _____ ZIP Code + 4 _____</p> <p>11.a. Nature of such dealing.</p> <p>04/15/04</p> <p>LUNCH AT BELLINI</p> <p>NYC</p> <p>11.b. Approximate dollar value of such dealing. OVER 25-</p> <p>12.a. Nature of interest held or income received.</p> <p>12.b. Amount</p>
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<p>C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.</p>	
<p>13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).</p> <p>Name _____</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street _____</p> <p>City _____</p> <p>State _____ ZIP Code + 4 _____</p>	<p>14.a. Nature of payment.</p>

Name of Person Filing	FRANK X COLLEN	File Number U-
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<p>8. Name and address of Business (including trade name, if any).</p> <p>Name STONE RIDGE</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any PO Box 357</p> <p>Street _____</p> <p>City BEDMINSTER</p> <p>State N J ZIP Code + 4 07921</p>	<p>9. Business deals with:</p> <p><input type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input checked="" type="checkbox"/> c. Employer</p> <p>11.a. Nature of such dealing.</p> <p>11/04/04</p> <p>LUNCH AT PETER LUGAR'S</p> <p>BROOKLYN, NY</p> <p>11.b. Approximate dollar value of such dealing. DIER 25 -</p> <p>12.a. Nature of interest held or income received.</p> <p>12.b. Amount</p>
<p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name _____</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street _____</p> <p>City _____</p> <p>State _____ ZIP Code + 4 _____</p>	



C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

<p>13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).</p> <p>Name _____</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street _____</p> <p>City _____</p> <p>State _____ ZIP Code + 4 _____</p>	<p>14.a. Nature of payment.</p>
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<p>8. Name and address of Business (including trade name, if any).</p> <p>Name <u>THE SEGAL COMPANY</u></p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street <u>ONE PARK AVENUE</u></p> <p>City <u>NEW YORK</u></p> <p>State <u>NY</u> ZIP Code + 4 <u>10016</u></p>	<p>9. Business deals with:</p> <p><input type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input checked="" type="checkbox"/> c. Employer</p> <p>11.a. Nature of such dealing.</p> <p><u>07/08/04</u> <u>LUNCH AT WOLFGANG'S</u> <u>NYC</u></p> <p>11.b. Approximate dollar value of such dealing. <u>132 -</u></p> <p>12.a. Nature of interest held or income received.</p> <p>12.b. Amount</p>
<p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name _____</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street _____</p> <p>City _____</p> <p>State _____ ZIP Code + 4 _____</p>	

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

<p>13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).</p> <p>Name _____</p> <p>Trade Name, if any: _____</p> <p>P.O. Box, Bldg., Room No., if any _____</p> <p>Street _____</p> <p>City _____</p> <p>State _____ ZIP Code + 4 _____</p>	<p>14.a. Nature of payment.</p>
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